Berkshire
ASSET MANAGEMENT, LLC

PRIVACY NOTICE

MARCH 2026

FACTS	WHAT DOES BERKSHIRE ASSET MANAGEMENT, LLC ("BEKRSHIRE", "WE" OR "US") DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this Notice carefully to understand what we do.
What?	The type of personal information we collect, and share depends on the product or service you have with us. This information can include: ▪ Social Security number and account balances; ▪ Assets and transaction history; ▪ Credit card or other debt and risk tolerances, When you are *no longer* a customer, we continue to share your information as described in this Notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Berkshire chooses to share; and whether you can limit this sharing.

Reasons we share your personal information	Does Firm Share?	Can you Limit this Sharing?
For our everyday business purposes— Such as to process your transaction, maintain your account(s), provide you with necessary information, respond to court orders and legal investigation, or report to credit bureaus	Yes	No
For our marketing purposes— To offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes—	No	We don't' share
For our affiliates' everyday business purposes— Information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For non-affiliates to market to you	No	We don't share

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To limit our sharing	▪ Visit us online: **https://www.berkshireasset.com/** ▪ Call us at **(570) 825-2600** **Please note:** If you are a *new* customer, we can begin sharing your information 30 days from the days from the date we sent this Notice. When you are *no longer* our customer, we continue to share your information as described in this Notice.
Questions?	Call **(570) 825-2600** or email info@berkshiream.com

What we do	
How does Berkshire protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include physical, electronic, and procedural safeguards, including encryption, authentication and secured buildings and files.
How does Berkshire collect my personal information?	We collect your personal information, for example, when you: ▪ open an account or enter into an investment advisory contract; ▪ provide account information or give us your contact information; ▪ tell us about your investment or retirement portfolio. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness; ▪ affiliates from using your information to market to you and; ▪ sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. ▪ *Our affiliates include:* • *IM Global Partner Fund Management, LLC* • *IM Global US Distributors, LLC* ▪ *Berkshire does not share with non-affiliates so they can market to you.*
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ▪ *Berkshire does not share with non-affiliates so they can market to you.*
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. ▪ *Berkshire doesn't jointly market.*

Incident Response and Privacy Protection	
Privacy of Consumer Financial Information (Regulation S-P)	Berkshire is committed to safeguarding client information in accordance with Regulation S-P under the Gramm-Leach-Bliley Act. In the event of a security incident involving unauthorized access to or use of sensitive personally identifiable information, Berkshire will promptly investigate the incident and, consistent with regulatory requirements, complete its assessment and provide notice to affected clients as soon as practicable, but no later than 30 days after becoming aware of the incident, unless a delay is permitted by law. Such notice will include, as applicable, a description of the incident, the types of information involved, and steps clients may take to protect themselves.
Vendor Oversight	Berkshire also requires its third-party service providers to notify Berkshire of any actual or suspected security incident that is reasonably likely to have resulted in the unauthorized access to or exposure of such information as soon as possible, and in no event later than 72 hours after discovery. Upon receiving such notice, Berkshire will assess the scope and impact of the incident, take appropriate remedial measures to contain and mitigate any harm, coordinate with the service provider, and provide client notifications and any required regulatory filings or disclosures where warranted.